



RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/978,564

Source: C1PE

Date Processed by STIC: 11/2/01

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

Checker Version 3.0

The Checker Version 3.0 application is a state-of the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO).

Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be downloaded from the USPTO website at the following address:
<http://www.uspto.gov/web/offices/pac/checker>

Raw Sequence Listing Error Summary

ERROR DETECTED SUGGESTED CORRECTION SERIAL NUMBER: 1713564

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1 Wrapped Nucleic
 Wrapped Aminos The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor **after** creating it. Please adjust your right margin to .3; this will prevent "wrapping."
- 2 Invalid Line Length The rules require that a line **not exceed** 72 characters in length. This includes white spaces.
- 3 Misaligned Amino
 Numbering The numbering under each 5th amino acid is misaligned. Do **not** use tab codes between numbers, use **space characters**, instead.
- 4 Non-ASCII The submitted file was **not** saved in ASCII(DOS) text, as **required** by the Sequence Rules. Please **ensure your subsequent submission is saved in ASCII text**.
- 5 Variable Length Sequence(s) contain n's or Xaa's representing more than one residue. **Per Sequence Rules, each n or Xaa can only represent a single residue.** Please present the **maximum** number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
- 6 PatentIn 2.0
 'bug' A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) . Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. **This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.**
- 7 Skipped Sequences
 (OLD RULES) Sequence(s) missing. If intentional, please insert the following lines for **each** skipped sequence
(2) INFORMATION FOR SEQ ID NO X (insert SEQ ID NO where "X" is shown)
(i) SEQUENCE CHARACTERISTICS (Do not insert any subheadings under this heading)
(xi) SEQUENCE DESCRIPTION: SEQ ID NO X. (insert SEQ ID NO where "X" is shown)
This sequence is intentionally skipped

Please also adjust the '(ii) NUMBER OF SEQUENCES.' response to include the skipped sequences.
- 8 Skipped Sequences
 (NEW RULES) Sequence(s) missing. If intentional, please insert the following lines for **each** skipped sequence
<210> sequence id number
<400> sequence id number
000
- 9 Use of n's or Xaa's
 (NEW RULES) Use of n's and/or Xaa's have been detected in the Sequence Listing
Per 1.823 of Sequence Rules, use of <220>-<223> is **MANDATORY** if n's or Xaa's are present.
In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents
- 10 Invalid <213>
 Response Per 1.823 of Sequence Rules, the only **valid** <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is **required** when <213> response is Unknown or is Artificial Sequence
- 11 Use of <220> Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses
Use of <220> to <223> is **MANDATORY** if <213> "Organism" response is "Artificial Sequence" or "Unknown". Please explain source of genetic material in <220> to <223> section.
(See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
- 12 PatentIn 2.0
 "bug" Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk

OIPE

RAW SEQUENCE LISTING
PATENT APPLICATION: US/09/978,564

DATE: 11/02/2001
TIME: 15:25:17

Input Set : A:\Seq_Listing_for_P2630P1C25.wpd
Output Set: N:\CRF3\11022001\I978564.raw

3 <110> APPLICANT: Ashkenazi, Avi
 4 Baker Kevin P.
 5 Botstein, David
 6 Desnoyers, Luc
 7 Eaton, Dan
 8 Ferrara, Napoleon
 9 Filvaroff, Ellen
 10 Fong, Sherman
 11 Gao, Wei-Qiang
 12 Gerber, Hanspeter
 13 Gerritsen, Mary E.
 14 Goddard, Audrey
 15 Godowski, Paul J.
 16 Grimaldi, J. Christopher
 17 Gurney, Austin L.
 18 Hillan, Kenneth J
 19 Eljavan, Ivar J.
 20 Fuq, Sophia S.
 21 Napier, Mary A.
 22 Pan, James
 23 Paoni, Nicholas F.
 24 Eoy, Margaret Ann
 25 Shelton, David L.
 26 Stewart, Timothy A.
 27 Tumas, Daniel
 28 Williams, P. Mickey
 29 Wood, William I.
 31 <120> TITLE OF INVENTION: Secreted and Transmembrane Polypeptides and Nucleic
 32 Acids Encoding the Same
 34 <130> FILE REFERENCE: P2630P1C25
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DATE: 11/02/2001
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VERIFICATION SUMMARY
PATENT APPLICATION N: US/09/978,564

DATE: 11/02/2001
TIME: 15:25:18

Input Set : A:\Seq_Listing_for_P2630P1C25.wpd
Output Set: N:\CRF3\11022001\I978564.raw

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L:36 M:271 C: Current Filing Date differs, Replaced Current Filing Date
L:214 M:256 W: Invalid Numeric Header Field, Wrong Prior FILING DATE:YYYY-MM-DD
L:370 M:256 W: Invalid Numeric Header Field, Wrong Prior FILING DATE:YYYY-MM-DD
L:445 M:256 W: Invalid Numeric Header Field, Wrong Prior FILING DATE:YYYY-MM-DD
L:978 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:8
L:980 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:8
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L:6223 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:152
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VERIFICATION SUMMARY

PATENT APPLICATION: US/09/978,564

DATE: 11/02/2001

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Input Set : A:\Seq_Listing_for_P2630P1C25.wpd
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L:6389 M: 258 W: Mandatory Feature missing, <220> FEATURE:
L: 6389 M: 258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
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11/11/94

412
PBT
Artificial
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Ala Leu Pro Ala Gly Arg His Pro Pro Val Val Leu Val Pro Gly
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Val His Tyr Leu Cys Ser Lys Lys Thr Gln Ser Tyr Phe Thr Ile
Trp Leu Asn Leu Glu Leu Leu Pro Val Ile Ile Asp Cys Trp
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Ser Tyr Phe His Thr Met Val Gln Ser Leu Val Gly Tyr Gly Tyr
Thr Arg Gly Gln Asp Val Arg Gly Ala Pro Tyr Asp Trp Arg Arg
Ala Pro Asn Gln Asn Gly Pro Tyr Phe Leu Ala Leu Arg Glu Met
Ile Glu Gln Met Tyr Gln Leu Tyr Gly Gly Pro Val Val Leu Val
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Gln Pro Gln Ala Trp Lys Asp Lys Tyr Ile Arg Ala Phe Val Ser
Leu Gly Ala Pro Tyr Gly Gly Val Ala Lys Thr Leu Arg Val Leu
Ala Ser Gly Asp Asn Asn Arg Ile Pro Val Ile Gly Pro Leu Lys
Ile Arg Gln Gln Asn Asn Ser Ala Val Ser Thr Ser Tyr Leu Leu